### Whole Genome Assembly and Alignment Michael Schatz

Oct 23, 2012 CSHL Programming for Biology





### Outline

- I. Assembly theory
  - I. Assembly by analogy
  - 2. De Bruijn and Overlap graph
  - 3. Coverage, read length, errors, and repeats
- 2. Genome assemblers
  - I. ALLPATHS-LG
  - 2. SOAPdenovo
  - 3. Celera Assembler
- 3. Whole Genome Alignment with MUMmer
- 4. Assembly Tutorial

### Shredded Book Reconstruction

Dickens accidentally shreds the first printing of <u>A Tale of Two Cities</u>
 – Text printed on 5 long spools

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- How can he reconstruct the text?
  - 5 copies x 138, 656 words / 5 words per fragment = 138k fragments
  - The short fragments from every copy are mixed together
  - Some fragments are identical



### **Greedy Reconstruction**



The repeated sequence make the correct reconstruction ambiguous

• It was the best of times, it was the [worst/age]

Model the assembly problem as a graph problem

### de Bruijn Graph Construction

- $D_k = (V, E)$ 
  - V = All length-k subfragments (k < l)
  - E = Directed edges between consecutive subfragments
    - Nodes overlap by k-1 words



- Locally constructed graph reveals the global sequence structure
  - Overlaps between sequences implicitly computed

de Bruijn, 1946 Idury and Waterman, 1995 Pevzner, Tang, Waterman, 2001

# de Bruijn Graph Assembly



### de Bruijn Graph Assembly



### The full tale

... it was the best of times it was the worst of times ...
... it was the age of wisdom it was the age of foolishness ...
... it was the epoch of belief it was the epoch of incredulity ...
... it was the season of light it was the season of darkness ...
... it was the spring of hope it was the winder of despair ...



### N50 size

Def: 50% of the genome is in contigs as large as the N50 value



```
N50 size = 30 \text{ kbp}
```

```
(300k+100k+45k+45k+30k = 520k \ge 500kbp)
```

Note:

N50 values are only meaningful to compare when base genome size is the same in all cases

### Milestones in Genome Assembly

Nature Vol. 265 February 24 1977

#### articles

#### Nucleotide sequence of bacteriophage $\Phi X174 DNA$

F. Sanger, G. M. Air<sup>\*</sup>, B. G. Barrell, N. L. Brown<sup>+</sup>, A. R. Coulson, J. C. Fiddes, C. A. Hutchison III<sup>\*</sup>, P. M. Slocombe<sup>4</sup> & M. Smith<sup>\*</sup> MRC Laboratory of Molecular Biology, Hills Road, Cambridge CB: 2011, UK

A DNA sequence for the genume of bacteriphage 0X/T4	strand DNA of GNA has the same sequence as the mRNA and in
of approximately. 5375 meteriothes has been determined	certain conditions, will bird riboteness to that a protected
using the rapid and simple 'pha and minus' method. The	fragment can be isolated and sequenced. Only one major title
production of the proteins of the names responsible for the	as found By comparison with the atmins easil sequence data it
production of the proteins of the name known genes of the	initiation of the gene G proteint <sup>10</sup> (positions 21:062-2:413).
proteins and RNAs. Two pairs of genes are coded by the	At this stage sequencing techniques using primoted synthesis
proteins and RNAs. Two pairs of genes are coded by the	with DNA polymerara wore being developed <sup>11</sup> and Schort
proteins and RNAs. Two pairs of genes are coded by the	Part of the ribotene binding stick. This was used to prime into
proteins and RNAs are afforded trading.	are of the ribotene binding stick.
This genome of bacteriophage $\Phi$ X174 is a single-stranded,	the intercistronic region between the <i>F</i> and <i>G</i> genes, using DNA
invalue DNA of approximately 5400 molecilides coding for	polymerase and <sup>14</sup> P-labelled triphosphare's. The ribo-substitu-
inne known proteins. The order of these genes, as determined by	tion technique <sup>16</sup> facilitated the sequence determination of the
genetic techniques <sup>1-1</sup> , is $A = C - D = E - E - E - E$ . Genes F. G	labelled DNA produced. This decaracleotide-printed system
and H code for structural proteins of the virus capsid, and gene	was also used to develop the plus and minus method'. Suitable
I das defined by sequence work) codes for a small basic rortein	synthetic primers are, however, difficult to prepare and as

1977. Sanger *et al.* I<sup>st</sup> Complete Organism 5375 bp



2000. Myers *et al.* I<sup>st</sup> Large WGS Assembly. Celera Assembler. 116 Mbp



1995. Fleischmann *et al.* 1<sup>st</sup> Free Living Organism TIGR Assembler. 1.8Mbp



1998. C.elegans SC I<sup>st</sup> Multicellular Organism BAC-by-BAC Phrap. 97Mbp







2010. Li *et al.* I<sup>st</sup> Large SGS Assembly. SOAPdenovo 2.2 Gbp

Like Dickens, we must computationally reconstruct a genome from short fragments

# **Assembly Applications**

Novel genomes





• Metagenomes





- Sequencing assays
  - Structural variations
  - Transcript assembly





### Assembling a Genome



2. Construct assembly graph from overlapping reads

...AGCCTAGACCTACAGGATGCGCGACACGT GGATGCGCGACACGTCGCATATCCGGT...

3. Simplify assembly graph



4. Detangle graph with long reads, mates, and other links



### Why are genomes hard to assemble?

- **I.** Biological:
  - (Very) High ploidy, heterozygosity, repeat content

### 2. Sequencing:

- (Very) large genomes, imperfect sequencing

### **3.** Computational:

- (Very) Large genomes, complex structure

### **4.** Accuracy:

- (Very) Hard to assess correctness



## Ingredients for a good assembly



#### High coverage is required

- Oversample the genome to ensure every base is sequenced with long overlaps between reads
- Biased coverage will also fragment assembly





### Reads & mates must be longer than the repeats

- Short reads will have *false overlaps* forming hairball assembly graphs
- With long enough reads, assemble entire chromosomes into contigs

#### Errors obscure overlaps

- Reads are assembled by finding kmers shared in pair of reads
- High error rate requires very short seeds, increasing complexity and forming assembly hairballs

**Current challenges in de novo plant genome sequencing and assembly** Schatz MC, Witkowski, McCombie, WR (2012) *Genome Biology*. 12:243

### Illumina Sequencing by Synthesis Adapter "" DNA fragment 1 Adapters Dense lawn of primers 1. Prepare Attached terminus Attached Free terminus terminus 2. Attach 3. Amplify Laser 4. Image 5. Basecall

Metzker (2010) Nature Reviews Genetics 11:31-46

http://www.illumina.com/documents/products/techspotlights/techspotlight\_sequencing.pdf

### Paired-end and Mate-pairs

### Paired-end sequencing

- Read one end of the molecule, flip, and read the other end
- Generate pair of reads separated by up to 500bp with inward orientation



### Mate-pair sequencing

- Circularize long molecules (1-10kbp), shear into fragments, & sequence
- Mate failures create short paired-end reads





## Typical contig coverage



Imagine raindrops on a sidewalk

Histogram of balls in each bin Total balls: 1000 Empty bins: 361



### Balls in Bins Ix





Histogram of balls in each bin Total balls: 2000 Empty bins: 142



### Balls in Bins 2x





Histogram of balls in each bin Total balls: 4000 Empty bins: 17



### Balls in Bins 4x





Histogram of balls in each bin Total balls: 8000 Empty bins: 1



### Balls in Bins 8x





# **Coverage and Read Length**

Idealized Lander-Waterman model

- Reads start at perfectly random positions
- Contig length is a function of coverage and read length
  - Short reads require much higher coverage to reach same expected contig length
- Need even high coverage for higher ploidy, sequencing errors, sequencing biases
  - Recommend 100x coverage





Assembly of Large Genomes using Second Generation Sequencing Schatz MC, Delcher AL, Salzberg SL (2010) *Genome Research*. 20:1165-1173.

# Two Paradigms for Assembly



Short read assemblers

- Repeats depends on word length
- Read coherency, placements lost
- Robust to high coverage



Long read assemblers

- Repeats depends on read length
- Read coherency, placements kept
- Tangled by high coverage

Assembly of Large Genomes using Second Generation Sequencing Schatz MC, Delcher AL, Salzberg SL (2010) *Genome Research*. 20:1165-1173.



# Unitigging / Unipathing

- After simplification and correction, compress graph down to its non-branching initial contigs
  - Aka "unitigs", "unipaths"
  - Unitigs end because of (1) lack of coverage, (2) errors, and (3) repeats





### Errors in the graph



## Repetitive regions

Repeat Type	Definition / Example	Prevalence
Low-complexity DNA / Microsatellites	$(b_1b_2b_k)^N$ where $I \le k \le 6$ CACACACACACACACACACACA	2%
SINEs (Short Interspersed Nuclear Elements)	<i>Alu</i> sequence (~280 bp) Mariner elements (~80 bp)	13%
LINEs (Long Interspersed Nuclear Elements)	~500 – 5,000 bp	21%
LTR (long terminal repeat) retrotransposons	Ту I -copia, Ту3-gypsy, Pao-BEL (~100 – 5,000 bp)	8%
Other DNA transposons		3%
Gene families & segmental duplications		4%

- Over 50% of mammalian genomes are repetitive
  - Large plant genomes tend to be even worse
  - Wheat: I6 Gbp; Pine: 24 Gbp

## **Repeats and Read Length**

Repeats



- Explore the relationship between read length and contig N50 size
  - Idealized assembly of read lengths: 25, 35, 50, 100, 250, 500, 1000
  - Contig/Read length relationship depends on specific repeat composition

#### **Assembly Complexity of Prokaryotic Genomes using Short Reads.** Kingsford C, Schatz MC, Pop M (2010) *BMC Bioinformatics*. 11:21.



- If *n* reads are a uniform random sample of the genome of length *G*, we expect  $k=n\Delta/G$  reads to start in a region of length  $\Delta$ .
  - If we see many more reads than k (if the arrival rate is > A), it is likely to be a collapsed repeat

$$\Pr(X - copy) = \binom{n}{k} \left(\frac{X\Delta}{G}\right)^k \left(\frac{G - X\Delta}{G}\right)^{n-k} \qquad A(\Delta, k) = \ln\left(\frac{\Pr(1 - copy)}{\Pr(2 - copy)}\right) = \ln\left(\frac{\frac{(\Delta n/G)^k}{k!}e^{\frac{-\Delta n}{G}}}{\frac{(2\Delta n/G)^k}{k!}e^{\frac{-2\Delta n}{G}}}\right) = \frac{n\Delta}{G} - k\ln 2$$

The fragment assembly string graph Myers, EW (2005) Bioinformatics. 21 (suppl 2): ii79-85.

# Scaffolding

- Initial contigs (aka unipaths, unitigs) terminate at
  - Coverage gaps: especially extreme GC regions
  - Conflicts: sequencing errors, repeat boundaries
- Iteratively resolve longest, 'most unique' contigs
  - Both overlap graph and de Bruijn assemblers initially collapse repeats into single copies
  - Uniqueness measured by a statistical test on coverage



### Break



### **Assembly Algorithms**





### Genome assembly with ALLPATHS-LG Iain MacCallum



#### How ALLPATHS-LG works



### ALLPATHS-LG sequencing model

Libraries (insert types)	Fragment size (bp)	Read length (bases)	Sequence coverage (x)	Required
Fragment	180*	≥ 100	45	yes
Short jump	3,000	$\geq$ 100 preferable	45	yes
Long jump	6,000	≥ 100 preferable	5	no**
Fosmid jump	40,000	≥ 26	1	no**

\*See next slide.

\*\*For best results. Normally not used for small genomes. However essential to assemble long repeats or duplications.

Cutting coverage in half still works, with some reduction in quality of results.

All: protocols are either available, or in progress.

Given a crystal ball, we could stack reads on the chromosomes they came from (with homologous chromosomes separate), then let each column 'vote':



chromosome

But we don't have a crystal ball....

<u>ALLPATHS-LG.</u> For every K-mer, examine the stack of all reads containing the K-mer. Individual reads may be edited if they differ from the overwhelming consensus of the stack. If a given base on a read receives conflicting votes (arising from membership of the read in multiple stacks), it is not changed. (K=24)


To close a read pair (red), we require the existence of another read pair (blue), overlapping perfectly like this:



More than one closure allowed (but rare).

Unipath: unbranched part of genome – squeeze together perfect repeats of size  $\geq K$ 



Adjacent unipaths overlap by K-1 bases

**I. Find 'seed' unipaths, evenly spaced across genome** (ideally long, of copy number CN = 1)

#### II. Form neighborhood around each seed



and are extended by other unipaths

### Create assembly from global assembly graph











## Genome assembly with SOAPdenovo

## Short Read Assembly



- Genome assembly as finding an Eulerian tour of the de Bruijn graph
  Human genome: >3B nodes, >10B edges
- The new short read assemblers require tremendous computation
  - Velvet (Zerbino & Birney, 2008) serial: > 2TB of RAM
  - ABySS (Simpson et al., 2009) MPI: 168 cores x ~96 hours
  - SOAPdenovo (Li et al., 2010) pthreads: 40 cores x 40 hours, >140 GB RAM

## Error Correction with Quake

- I. Count all "Q-mers" in reads
- Fit coverage distribution to mixture model of errors and regular coverage
- Automatically determines threshold for trusted k-mers

### 2. Correction Algorithm

- Considers editing erroneous kmers into trusted kmers in decreasing likelihood
- Includes quality values, nucleotide/nucleotide substitution rate



Quake: quality-aware detection and correction of sequencing reads. Kelley, DR, Schatz, MC, Salzberg SL (2010) *Genome Biology*. 11:R116





# Genome assembly with the Celera Assembler

## Celera Assembler

http://wgs-assembler.sf.net

- I. Pre-overlap
  - Consistency checks
- 2. Trimming
  - Quality trimming & partial overlaps
- 3. Compute Overlaps
  - Find high quality overlaps
- 4. Error Correction
  - Evaluate difference in context of overlapping reads
- 5. Unitigging
  - Merge consistent reads
- 6. Scaffolding
  - Bundle mates, Order & Orient
- 7. Finalize Data
  - Build final consensus sequences



# Single Molecule Sequencing Technology



## SMRT Sequencing Data



Match	83.7%
Insertions	11.5%
Deletions	3.4%
Mismatch	I.4%

TTGTAAGCAGTTGAAAACTATGTGT <mark>G</mark> GATTTAG <mark>A</mark> ATAAAGAACATG <mark>A</mark> AAG 
ATTATAAA-CAGTTGATCCATT-AGAAGA-AAACGCAAAAGGCGGCTAGG 
CAACCTTGAATGTAATCGCACTTGAAGAACAAGATTTTATTCCGCGCCCG 
TAACGAATCAAGATTCTGAAAACACAT-ATAACAACCTCCAAAA-CACAA 
-AGGAGG <mark>GGAAAGGGGGGG</mark> GAATATCT-ATAAAAGATTACAAATTAGA-TGA 
ACT-AATTCACAATA-AATAACACTTTTA-ACAGAATTGAT-GGAA-GTT 
TCGGAGAGATCCAAAACAATGGGC-ATCGCCTTTGA-GTTAC-AATCAAA 
ATCCAGT <mark>G</mark> GAAAATATA <mark>AT</mark> TTATGC <mark>A</mark> ATCCA <mark>G</mark> GAACTTATTCACAATTAG

Sample of 100k reads aligned with BLASR requiring >100bp alignment

## PacBio Error Correction: HGAP



- With 50-100x of Pacbio coverage, virtually all of the errors can be eliminated
  - Works well for Microbial genomes: single contig per chromosome routinely achieved
  - Difficult to scale up for use with eukaryotic genomes

Nonhybrid, finished microbial genome assemblies from long-read SMRT sequencing data Chin, CS et al. (2013) Nature Methods. 10: 563-569

## Hybrid Sequencing





### **Illumina** Sequencing by Synthesis

High throughput (60Gbp/day) High accuracy (~99%) Short reads (~100bp)

### **Pacific Biosciences**

SMRT Sequencing

Lower throughput (IGbp/day) Lower accuracy (~85%) Long reads (5kbp+)

## Hybrid Error Correction: PacBioToCA http://wgs-assembler.sf.net

- I. Correction Pipeline
  - I. Map short reads to long reads
  - 2. Trim long reads at coverage gaps
  - 3. Compute consensus for each long read



2. Error corrected reads can be easily assembled, aligned



Hybrid error correction and de novo assembly of single-molecule sequencing reads. Koren, S, Schatz, MC, et al. (2012) Nature Biotechnology. doi:10.1038/nbt.2280

## Enhanced PacBio Error Correction

### PacBioToCA fails in complex regions

- I. Simple Repeats Kmer Frequency Too High to Seed Overlaps
- 2. Error Dense Regions Difficult to compute overlaps with many errors



3. Extreme GC – Lacks Illumina Coverage



### Assembly complexity of long read sequencing

Lee, H\*, Gurtowski, J\*, Yoo, S, Marcus, S, McCombie, WR, Schatz MC et al. (2013) In preparation

# **Preliminary Rice Assemblies**

Assembly	Contig NG50
HiSeq Fragments 50x 2x100bp @ 180	3,925
MiSeq Fragments 23x 459bp 8x 2x251bp @ 450	6,332
<b>"ALLPATHS-recipe"</b> 50x 2x100bp @ 180 36x 2x50bp @ 2100 51x 2x50bp @ 4800	18,248
PBeCR Reads 19x @ 3500 ** MiSeq for correction	50,995
Enchanced PBeCR 19x @ 3500 ** MiSeq for correction	155,695



In collaboration with McCombie & Ware labs @ CSHL

## Improved Gene Reconstruction

FOXP2 assembled in a single contig in the PacBio parrot assembly



Hybrid error correction and de novo assembly of single-molecule sequencing reads. Koren, S, Schatz, MC, et al. (2012) Nature Biotechnology. doi:10.1038/nbt.2280

# P5-C3 Chemistry Read Lengths



Read Length (bp)

# De novo assembly of Arabidopsis

http://blog.pacificbiosciences.com/2013/08/new-data-release-arabidopsis-assembly.html



### A. thaliana Ler-0 sequenced at PacBio

- Sequenced using the latest P4 enzyme and C2 chemistry
- Size selection using an 8 Kb to 50 Kb elution window on a BluePippin<sup>™</sup> device from Sage Science
- Total coverage >100x

Genome size:	124.6 Mb
GC content:	33.92%
Raw data:	II Gb
Assembly coverage:	15x over 9kbp

Sum of Contig Lengths:	149.5Mb
Number of Contigs:	1788
Max Contig Length:	12.4 Mb
N50 Contig Length:	8.4 Mb

## Assembly Complexity of Long Reads





- Attempt to answer the question:
  "What makes a good assembly?"
- Organizers provided simulated sequence data
  - Simulated 100 base pair Illumina reads from simulated diploid organism
- 41 submissions from 17 groups
- Results demonstrate trade-offs assemblers must make

# **Assembly Results**

## Scaffolds



## **Scaffold Paths**





# Final Rankings

ID	Overall	CPNG50	SPNG50	Struct.	CC50	Subs.	Copy. Num.	Cov. Tot.	Cov. CDS
BGI	36	$\overleftrightarrow$					$\overrightarrow{\mathbf{x}}$		$\overrightarrow{\mathbf{x}}$
Broad	37	$\swarrow$	$\bigstar$	$\bigstar$					
WTSI-S	46			$\swarrow$	$\bigstar$				
CSHL	52	$\bigstar$							$\sim$
BCCGSC	53							$\sim$	
DOEJGI	56		$\swarrow$		22	$\bigstar$			
RHUL	58								
WTSI-P	64							$\leq$	
EBI	64						$\overrightarrow{\mathbf{x}}$		
CRACS	64					$\overrightarrow{\mathbf{x}}$			

- SOAPdenovo and ALLPATHS came out neck-and-neck followed closely behind by SGA, Celera Assembler, ABySS
- My recommendation for "typical" short read assembly is to use ALLPATHS
- Single molecule sequencing becoming extremely attractive if you have access

## Assembly Summary

Assembly quality depends on

- I. Coverage: low coverage is mathematically hopeless
- 2. Repeat composition: high repeat content is challenging
- 3. Read length: longer reads help resolve repeats
- 4. Error rate: errors reduce coverage, obscure true overlaps
- Assembly is a hierarchical
  - Reads
    - -> unitigs
      - -> mates

-> scaffolds

-> optical / physical / genetic maps

-> chromosomes

Extensive error correction is the key to getting the best assembly possible from a given data set

## Break





# Whole Genome Alignment with MUMmer

Slides Courtesy of Adam M. Phillippy amp@umics.umd.edu



• For two genomes, A and B, find a mapping from each position in A to its corresponding position in B



## Not so fast...

 Genome A may have insertions, deletions, translocations, inversions, duplications or SNPs with respect to B (sometimes all of the above)



## WGA visualization

- How can we visualize *whole* genome alignments?
- With an alignment dot plot T  $-N \times M$  matrix G• Let i = position in genome A• Let j = position in genome B• Fill cell (*i*,*j*) if  $A_i$  shows similarity to  $B_j$  A



 A perfect alignment between A and B would completely fill the positive diagonal









- Different structural variation types / misassemblies will be apparent by their pattern of breakpoints
- Most breakpoints will be at or near repeats
- Things quickly get complicated in real genomes

http://mummer.sf.net/manual/ AlignmentTypes.pdf

# Seed-and-extend with MUMmer

## How can quickly align two genomes?

- I. Find maximal-unique-matches (MUMs)
  - Match: exact match of a minimum length
  - Maximal: cannot be extended in either direction without a mismatch
  - Unique
    - occurs only once in both sequences (MUM)
    - occurs only once in a single sequence (MAM)
    - occurs one or more times in either sequence (MEM)
- 2. Cluster MUMs
  - using size, gap and distance parameters
- 3. Extend clusters
  - using modified Smith-Waterman algorithm

## Seed and Extend visualization

### FIND all MUMs CLUSTER consistent MUMs EXTEND alignments


## **Fee Fi Fo Fum**, is it a MAM, MEM or MUM?

 MUM : maximal unique match

 MAM : maximal almost-unique match

 MEM : maximal exact match



# WGA example with **nucmer**

- Yersina pestis CO92 vs. Yersina pestis KIM
  - High nucleotide similarity, 99.86%
    - Two strains of the same species
  - Extensive genome shuffling
    - Global alignment will not work
  - Highly repetitive
    - Many local alignments

## WGA Alignment

#### nucmer -maxmatch CO92.fasta KIM.fasta

-maxmatch Find maximal exact matches (MEMs)

#### delta-filter -m out.delta > out.filter.m

-m Many-to-many mapping

## show-coords -r out.delta.m > out.coords

-r Sort alignments by reference position

#### **dnadiff out.delta.m** Construct catalog of sequence variations

# mummerplot --large --layout out.delta.m --large Large plot --layout Nice layout for multi-fasta files --x11 Default, draw using x11 (--postscript, --png) \*requires gnuplot



## References

## Documentation

- http://mummer.sourceforge.net
  - » publication listing
- http://mummer.sourceforge.net/manual
  - » documentation
- http://mummer.sourceforge.net/examples
  - » walkthroughs
- Email
  - mummer-help@lists.sourceforge.net



## See you at

## **Genome Informatics**

*Oct 30 – Nov 2* 

http://schatzlab.cshl.edu @mike\_schatz